



PTO/SB/21 (09-04) (AW 10/2004)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/725,940
Filing Date	December 3, 2003
First Named Inventor	C. MATSUMI
Art Unit	2173
Examiner Name	John W. Cabeca
Attorney Docket No.	MTS-3582US

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
Incomplete Application
 - ☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation,
Change of Correspondence
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
 - ☐ Landscape Table on CD

- ☐ After Allowance Communication
to TC
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply
Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please
identify below): PTO/SB/08a and
PTO/SB/08b; 18 Cited
References; Return Receipt
Postcard

Remarks:**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**Firm Name Rather Prestia

Signature

Printed Name Lawrence E. AsheryDate May 8, 2006Registration No. 34,515**CERTIFICATE OF TRANSMISSION / MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or Printed Name Beth JohnsonDate May 8, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/725,940
Applicant: C. MATSUMI
Filed: December 3, 2003
Title: RECORDING AND REPRODUCING SYSTEM, RECORDING APPARATUS,
REPRODUCING APPARATUS, RECORD MEDIUM, RECORDING AND
REPRODUCING METHOD, RECORDING METHOD, REPRODUCING METHOD,
PROGRAM AND RECORD MEDIUM
TC/A.U.: 2173
Examiner: John W. Cabeca

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and SB/08b submitted herewith. Copies of the references listed, other than U.S. patents, are enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

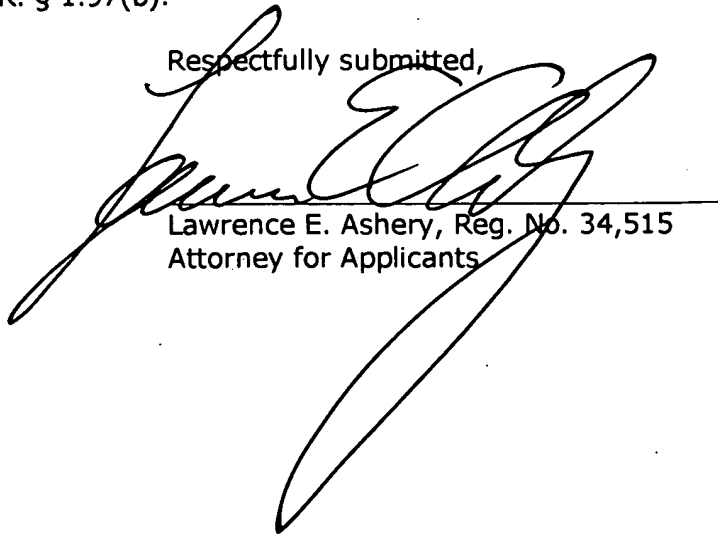
- 1) JP 2002-313070
- 2) JP 3297333
- 3) JP 3098237

References 1) to 3) include English language Abstracts and/or partial translations.

Reference 3) includes corresponding U.S. counterpart patents and published applications.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Lawrence E. Ashery, Reg. No. 34,515
Attorney for Applicants

LEA/bj

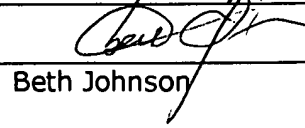
Enclosures: PTO/SB/08a and 08b
18 References
Transmittal Form

Dated: May 8, 2006

P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: May 8, 2006.



Beth Johnson

BETHJ_

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 4

Complete if Known

Application Number	10/725,940
Filing Date	December 3, 2003
First Named Inventor	C. MATSUMI
Art Unit	2173
Examiner Name	John W. Cabeca
Attorney Docket No.	MTS-3582US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-6,006,234	12/21/1999	GOVINDARAJAN et al.	
		US 6,351,442	02-26-2002	TAGAWA, et al.	
		US-6,215,746 B1	04-10-2001	ANDO ET AL.	
		US 6,442,333	08-27-2002	IZAWA	
		US 6,282,365 B1 (with counterpart JP 3098237)	08/28/2001	GOTOH ET AL.	
		US 6,292,625 B1 (with counterpart JP 3098237)	09/18/2001	GOTOH ET AL.	
		US 6,314,235 B1 (with counterpart JP 3098237)	11/06/2001	GOTOH ET AL.	
		US 6,574,420 B1 (with counterpart JP 3098237)	06/03/2003	GOTOH ET AL.	
		US 2003/0194216 A1 (with counterpart JP 3098237)	10-16-2003	GOTOH ET AL.	
		US 2003/0194217 A1 (with counterpart JP 3098237)	10-16-2003	GOTOH ET AL.	
		US 2003/0194218 A1 (with counterpart JP 3098237)	10-16-2003	GOTOH ET AL.	
		US 2003/0206721 A1 (with counterpart JP 3098237)	11-06-2003	GOTOH ET AL.	
		US 2002/034130	03-21-2002	TAGAWA et al.	
		US 2003/174593	09-18-2003	TAGAWA et al.	
		US 2002/0049731	04-25-2002	KOTANI	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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SHEET 2 of 4

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Filing Date	December 3, 2003
First Named Inventor	C. MATSUMI
Art Unit	2173
Examiner Name	John W. Cabeca
Attorney Docket No.	MTS-3582US

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
		EP 0 957 487	11-17-1999	Matsushita Electric Industrial Co., Ltd.		
		EP 0 962 929	12-08-1999	Matsushita Electric Industrial Co., Ltd.		
		EP 0 926 680	06-30-1999	Pioneer Electronic Corporation		
		EP 1 411 520	04-21-2004	Microsoft Corporation		<input type="checkbox"/>
		EP 0 949 622	10-13-1999	Pioneer Electronic Corporation		
		EP 1 248 259	10-09-2002	SAMSUNG ELECTRONICS CO., LTD.		<input type="checkbox"/>

Examiner Signature		Date Considered	
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¹Applicant's unique citation designation number (optional).

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⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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Art Unit	2173
Examiner Name	John W. Cabeca
Attorney Docket No.	MTS-3582US

SHEET 3 of 4

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		JP 3098237 (with English abstract from JP 2000-322876 and with counterparts US 6,282,365, US 6,292,625, US 6,314,235, US 6,574,420, US 2003/0194216, US 2003/0194217, US 2003/0194218, and US 2003/0206721)	08-11-2000	Matsushita Electric Industrial Co., Ltd.		
		JP 11 297006 (English abstract only)	10-29-1999	Sanyo Electric Co Ltd		<input type="checkbox"/>
		JP 2004-152359 (English abstract only)	05-27-2004	Sharp Corp		<input type="checkbox"/>
		JP 3297333 (with English Abstract from JP 09-198778)	04-12-2002	INTERNATL BUSINESS MACH CORP		
		JP 2002-313070 (with English Abstract)	10-25-2002	MATSUSHITA ELEC IND CO LTD		<input type="checkbox"/>
		WO 01/28222	4-19-2001	Perception Digital Technology Limited		<input type="checkbox"/>
		WO 02/41319	05-23-2002	Hancock		<input type="checkbox"/>
		WO 2003/054870 A3	07-03-2003	Konin-Klijke Philips Electronics N.V.		<input type="checkbox"/>
		WO 2004/027774 A1	04-01-2004	Koninklijke Philips Electronics N.V.		<input type="checkbox"/>
		WO 2004/008460	01-22-2004	Apple Computer Inc.		<input type="checkbox"/>
Examiner Signature			Date Considered			

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First Named Inventor	C. MATSUMI
Art Unit	2173
Examiner Name	John W. Cabeca
Attorney Docket No.	MTS-3582US

SHEET 4 of 4

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		ALESIS: "MASTERLINK REFERENCE MANUAL", [Online], 2001, ALESIS, Retrieved from the Internet: URL:web.archive.org/web/20000902235408/www.alesis.com/downloads/manuals/ml9600_man.pdf [retrieved on 2005-03-16]	<input type="checkbox"/>
		Apple Computer, Inc., "Audio Interchange File Format version 1.3 "AIFF", 4 January 1989, XP0002274283, pp. 1-26	
			<input type="checkbox"/>
			<input type="checkbox"/>
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Examiner Signature		Date Considered	
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